Transmission-Ratio Distortion and Allele Sharing in Affected Sib Pairs: A New Linkage Statistic with Reduced Bias, with Application to Chromosome 6q25.3

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We studied the effect of transmission-ratio distortion (TRD) on tests of linkage based on allele sharing in affected sib pairs. We developed and implemented a discrete-trait allele-sharing test statistic, S_{ad} , analogous to the S_{pairs} test statistic of Whittemore and Halpern, that evaluates an excess sharing of alleles at autosomal loci in pairs of affected siblings, as well as a lack of sharing in phenotypically discordant relative pairs, where available. Under the null hypothesis of no linkage, nuclear families with at least two affected siblings and one unaffected sibling have a contribution to S_{ad} that is unbiased, with respect to the effects of TRD independent of the disease under study. If more distantly related unaffected individuals are studied, the bias of S_{ad} is generally reduced compared with that of S_{pairs} , but not completely. Moreover, S_{ad} has higher power, in some circumstances, because of the availability of unaffected relatives, who are ignored in affected-only analyses. We discuss situations in which it may be an efficient use of resources to genotype unaffected relatives, which would give insights for promising study designs. The method is applied to a sample of pedigrees ascertained for asthma in a chromosomal region in which TRD has been reported. Results are consistent with the presence of transmission distortion in that region.

Introduction

Transmission-ratio distortion (TRD) is a departure from Mendel's law of independent segregation of alleles. Classic examples of TRD include the t complex in mice and segregation distorter in Drosophila (see, e.g., Lyttle [1993]). In humans, evidence of TRD has been found in several locations, including the Xp11.4 region (Naumova et al. 1998), in which, among male offspring, inheritance is biased in favor of the grandpaternal allele. Causes of TRD are numerous. It can occur, for example, in the region of a gene under selection, causing postfertilization loss. Eaves et al. (1999) found excess transmission of one class of alleles (on the basis of allele size) of a variable-number-of-tandem-repeats polymorphism of the insulin gene (INS on 11p15.5) in samples not ascertained because of disease status. The polymorphism regulates expression of both INS and IGF2, the insulinlike growth factor 2 gene; lower expression of alleles from another size-related class is thought to reduce the chances of survival in utero. TRD may also be caused by meiotic drive. Pardo-Manuel de Villena et al. (2000) showed that meiotic drive, at the second meiotic division, was responsible for the observed maternal TRD at the *Om* locus in mice. It was subsequently observed that the level of meitoic drive was correlated with the maternal X chromosome inactivation phenotype (de la Casa-Esperón et al. 2002).

In general, linkage methods based on allele sharing evaluate the correlation between a measure of the trait value (quantitative or discrete) in pairs of relatives and a function of the number of alleles shared identical by descent by the pair-in other words, cosegregation of the trait and genetic markers. Trait-independent TRD (i.e., TRD that is not the result of ascertainment based on disease status or trait value) in a chromosome region can bias inference of linkage obtained from the results of widely used methods (Greenwood and Morgan 2000). For discrete traits, searching for excess allele sharing among affected individuals does not constitute a test of linkage per se. Rather, the procedure tests for Mendelian segregation among them; linkage to a putative disease gene is only one possible explanation for the observed deviation from Mendelian inheritance. Hence, trait-independent TRD has a direct effect on test statistics based on allele sharing, when used as a test of linkage for dis-

Received March 18, 2004; accepted for publication July 14, 2004; electronically published August 20, 2004.

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crete traits. Although a potential source of bias and of inflation of the estimate of risk, TRD does not have a strong effect on the power to detect linkage, as long as the deviation from Mendelian segregation is modest (Greenwood and Morgan 2000).

For tests of linkage based on transmission disequilibrium, Spielman et al. (1993) advocated the use of data from unaffected children for their transmission/disequilibrium test (TDT) statistic when the possibility of TRD exists. Validation of a significant TDT result can also be assessed using the TDT_{DS} test statistic of Deng et al. (2002), a TDT-like statistic that uses discordant sibpair-parent tetrads and tests for both TRD and linkage.

In the context of allele sharing, we developed a discrete-trait test of linkage for affected sib pairs (ASPs) at autosomal loci that is based on the S_{pairs} test statistic of Whittemore and Halpern (1994), that incorporates unaffected relatives, and that is less sensitive to trait-independent TRD. In particular, sibships with both affected and discordant sib pairs have an unbiased contribution to the test, under the null hypothesis of no linkage. Because our test statistic evaluates excess sharing of alleles in ASPs and lack of sharing of alleles in phenotypically discordant pairs of relatives (sib pairs or others), when available, the power of the test may be augmented.

The development of our test statistic was motivated by a linkage signal in asthma-ascertained pedigrees in a region of chromosome 6 shown by Naumova et al. (2001) to be subject to TRD. Naumova et al. (2001) evaluated TRD in selected imprinted gene regions that were associated with embryo development. The grandparental origin of transmitted marker alleles in or near these regions was evaluated to relate errors in imprinting to TRD. Naumova et al. (2001) hypothesized that improperly erased grandparental-imprinting marks in the germ line could cause embryonic loss and, thus, TRD. In the 6q25.3 region, the IGF2R gene (insulin-like growth factor 2/mannose-6-phosphate receptor [location based on the July 2003 freeze of the Human Genome Browser]) was selected because it is expressed in the embryo and placenta and is a growth repressor (although imprinting of that gene-possibly polymorphic imprinting—is not well established [Xu et al. 1993; Ogawa et al. 1993]). Polymorphisms in the IGF2R gene and one microsatellite marker were used to evaluate differences in transmission of grandparental alleles, according to the sex of the parent and sex of the grandchild, in 31 three-generation CEPH families unselected for disease. They found that mothers tended to transmit the grandmaternal allele to their offspring, irrespective of offspring sex, in an $\sim 3:2$ ratio (P = .008). No significant deviation from the expected 1:1 ratio was observed in transmissions from fathers. An analysis of the

Am. J. Hum. Genet. 75:571-586, 2004

linkage signal in the ongoing asthma project illustrates our method.

Subjects and Methods

A Discrete-Trait Allele-Sharing Test of Linkage

We describe a simple allele-sharing test statistic, incorporating the genotypes of unaffected relatives, that is less sensitive to trait-independent TRD when used as a test of linkage. The basis of this approach is that, in a region in which TRD occurs, the number of alleles shared by members of phenotypically discordant pairs (one affected and one unaffected individual) should be as high as the number shared by members of affected pairs with the same relationship, if the region is not linked to any gene contributing to the risk of developing the disease under study. The discordant pairs can be viewed as control individuals, reducing the potential bias that arises from TRD. In addition, incorporation of these phenotypically discordant pairs may contribute to the power to detect linkage, since, in a region linked to a disease gene, discordant pairs should share alleles less often than expected under Mendelian inheritance. This expected lack of sharing provides additional information about the segregation of the putative disease gene.

For a given pedigree, let V be a random variable representing the descent path (Thompson 2000)-or "inheritance vector," in the nomenclature of Kruglyak et al. (1996)—of the alleles in the pedigree. If M represents the marker data available for the pedigree on a particular chromosome, then, at a specific chromosomal position *l*, V has conditional probability distribution P(v|M), where \boldsymbol{v} is a realization of V (a distribution that can be computed by software such as GENEHUNTER, by use of the Lander-Green algorithm [Lander and Green 1987] and MERLIN, by use of a sparse binary trees algorithm [Abecasis et al. 2002], to name two). Let A denote an informative affected pair of relatives (here, we focus on ASPs), of which there are N_A in the pedigree, and let D denote an informative discordant pair, of which there are N_D in the pedigree. A pair is informative if the number of alleles shared identical by descent is not a priori fully known. For example, if the possibility of inbreeding in the genealogy is excluded, a parent-child combination is uninformative, since parents and children always share one allele identical by descent, whereas a pair of unrelated individuals share no alleles identical by descent (see Génin and Clerget-Darpoux [1996] and Leutenegger et al. [2002] for the effects of sampling in an inbred population on allele-sharing tests of linkage).

If the descent path in the pedigree is v, let $S_A(v)$ be the number of alleles shared identical by descent in affected pair A, and let $S_D(v)$ be the number of alleles shared identical by descent in discordant pair D. Given the descent



Figure 1 Six pedigrees with discordant pairs. Individuals depicted by blackened symbols are affected. Individuals depicted by unblackened symbols are unaffected. We have no phenotypic data for individuals depicted by gray symbols.

path, these quantities are easily computed. The proposed test statistic, S_{ad} (where ad = affected/discordant), is based on the differences

$$S_{\rm ad}(\boldsymbol{v}) = \sum_{A} \frac{S_A(\boldsymbol{v})}{N_A} - \sum_{D} \frac{S_D(\boldsymbol{v})}{N_D} , \qquad (1)$$

which are averaged over the possible realizations of *V*, conditional on the marker data at position *l*:

$$S_{\mathrm{ad}}^{(l)} = E_l(S_{\mathrm{ad}}(V)|M) = \sum_{v} S_{\mathrm{ad}}(v) P_l(v|M) .$$

Note that, if no discordant pairs are available, the second sum in equation (1) is empty, and S_{ad} reduces to the S_{pairs} score of Whittemore and Halpern (1994). We then normalize S_{ad} by subtracting its expectation (μ_0) and dividing the result by its SD (σ_0), both taken under the null hypothesis of Mendelian segregation (or equivalently, under the prior uniform probability distribution of V), to obtain the standardized score

$$Z_{\rm ad}^{(l)} = \frac{S_{\rm ad}^{(l)} - \mu_0}{\sigma_0} \ . \tag{2}$$

The scores of all pedigrees are then added, with selection of pedigree weights such that the sum of the squared weights, over all pedigrees, is one (Kruglyak et al. 1996) (note that this approach is different from the one of Whittemore and Halpern [1994], in which the sum of scores of pedigrees is normalized). Then, under the assumption that families are unrelated and on the basis of sample size considerations, an approximate α -level test of linkage consists of rejecting the null hypothesis of no linkage, when the weighted sum of $Z_{ad}^{(l)}$ is above the $100 \times (1 - \alpha)$ th percentile of the standard normal distribution.

This normalized test statistic has the same disadvantage as do others that are based on different score functions, in that its variance (with expectation taken with respect to a probability distribution over all possible genotypes M, not to be confused with the expectation under the distribution of V) is <1, if the conditional distribution P(v|M) does not have its total mass at a single point; information is then said to be imperfect (Kruglyak et al. 1996). To correct for incompleteness of the data, the likelihood approach of Kong and Cox (1997) can be taken. The result would be a test statistic $Z_{1r,ad}$ (lr = likelihood ratio, after the notation of Kong and Cox [1997]) that has a variance of 1.

Models of TRD

We formulate a model of TRD that is based on preferential transmission of grandparental alleles, motivated by the findings of Naumova et al. (2001). Let m and M represent the paternal and maternal alleles, respectively, in males and let f and F represent the paternal and maternal alleles, respectively, in females. In a region unlinked to a disease-susceptibility gene, let $\delta_m = 1 - \delta_M$ be the probability of a male transmitting his paternal allele, and let $\delta_f = 1 - \delta_F$ be the probability of a female transmitting her paternal allele. The bias is defined as

$$b(\delta_{\mathrm{m}}, \delta_{\mathrm{f}}) = E(S|\delta_{\mathrm{m}}, \delta_{\mathrm{f}}) - E(S|\frac{1}{2}, \frac{1}{2}) , \qquad (3)$$

Table 1

oint Distribution	of S	S_A and	S _{D1} +	$-S_{D_2}^{a}$
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S_A	$S_{D_1} + S_{D_2}$	$S_{\rm ad}$	Joint Distribution
0	2	-1	$\Delta_{ m m}\Delta_{ m f}$
1	3	$-\frac{1}{2}$	$\Delta_m + \Delta_f - 3 \Delta_m \Delta_f$
2	4	0	$(1-rac{3}{2}\Delta_{m})(1-rac{3}{2}\Delta_{f})$
1	1	$\frac{1}{2}$	$\Delta_{ m m}\Delta_{ m f}$
2	2	1	$\frac{1}{2}(\Delta_{\rm m} + \Delta_{\rm f} - 3\Delta_{\rm m}\Delta_{\rm f})$
2	0	2	$\tfrac{1}{4}\Delta_{m}\Delta_{f}$

^a For the pedigree shown in figure 1A.



Figure 2 Graph of bias divided by the SD under Mendelian segregation (b/\sqrt{V}) of S_{pairs} (*thick line*) and S_{ad} (*thin lines*) for the pedigrees (A to F) shown in figure 1, under TRD coming from the maternal side.

where $E(S|\delta_m, \delta_f)$ denotes the expectation of a scoring function S (either S_{pairs} or S_{ad}), if the true transmission parameters are δ_m and δ_f . Under the null hypothesis of no linkage, the expectation is taken with respect to the a priori distribution of V (dropped from the notation for simplicity), which depends on δ_m and δ_f . For selected pedigrees (fig. 1), algebraic expressions for the bias were found.

A second model of TRD is based on allelic preferential transmission. At a biallelic locus, heterozygous individuals tend to transmit a specific allele (found in frequency p in the population) to their offspring with probability s. Effects of this model on the bias were surveyed through simulations. A computer program, SimM (Lemire Web site), was designed to perform gene-dropping simulations, allowing for TRD.

Power Calculations

For selected pedigrees (e.g., fig. 1), gene-dropping simulations were performed for perfectly informative markers by assignment of unique alleles to all founders. We simulated two marker loci, M_1 and M_2 , and one disease locus, D, with the order and intermarker distances

$$D \xrightarrow{1 \operatorname{cM}} M_1 \xrightarrow{5 \operatorname{cM}} M_2$$
.

All individuals are genotyped. The disease model is defined by the susceptibility allele frequency and penetrances. To evaluate the power of S_{ad} and S_{pairs} , we computed the sample size N_{ad} and N_{pairs} required to achieve a power of 80% for a nominal type I error of $\alpha =$ 0.1%. We used a normal approximation for the distribution of Z_{ad} and Z_{pairs} (the equivalent of eq. [2] for S_{pairs}), under the null hypothesis of Mendelian inheritance. The power of a statistic based on a score S then depends only on the mean and SD of S, under the alternative hypothesis (the model). These parameters were estimated with at least 50,000 simulated replicates—as many as 300,000 for low-penetrance models for which the mean to be estimated is small and thus require greater precision.

We also generated a population of sibships, with size modeled after a Poisson distribution, with a mean of 2 siblings, truncated at 7 siblings. Through a single affected proband, 400 sibships were ascertained with at

Normalized	Bias o	f S _{nairs}	and	S _{ad} for	Allele-S	pecific	TRD
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FREQUENCY (6)	Normalized Bias for Allele-Specific TRD with Probability (s)							
AND PEDIGREE	.6	.7	.8	.9				
.2:								
A–F	.0071	.091	.19	.34				
В	.0097	.015	.047	.070				
C and D	.014	.016	.046	.037				
Е	0023	.014	.050	.093				
F	.0059	.084	.17	.30				
.35:								
A–F	.027	.10	.24	.44				
В	.0057	.046	.090	.15				
C and D	.017	.014	.058	.087				
E	.00075	.037	.052	.11				
F	.027	.097	.22	.39				
.5:								
A–F	.033	.11	.24	.42				
В	.013	.050	.11	.21				
C and D	.011	.022	.065	.11				
E	.0036	.026	.061	.084				
F	.028	.097	.21	.38				

NOTE.—Normalized bias of S_{pairs} (shown in bold italics) and S_{ad} for alleles in frequency p that are preferentially transmitted by heterozygous individuals, with probability s. For S_{ad} , pedigree A is unbiased.

least one affected sibling and one unaffected sibling, randomly selected among all affected members of the simulated population. All siblings are phenotyped and genotyped at the two highly informative markers M_1 and M_2 , each with 10 equifrequent alleles. Parental genotypes are not available. The statistics $Z_{\text{lr,ad}}$ and $Z_{\text{lr,pairs}}$ (Kong and Cox 1997) were computed, and power was calculated as the proportion of 1,000 replicates with test statistics greater than or equal to the ≥ 99 th percentile of a standard normal distribution.

Asthma Study Data

We computed the $Z_{lr,ad}$ and the $Z_{lr,pairs}$ test statistics on data collected from families from the Saguenay Lac Saint-Jean region of Quebec. More than 225 families were recruited on the basis of a single asthmatic proband from each family who fulfilled at least two of the following three criteria: (1) a minimum of three clinic visits for acute asthma within 1 year, (2) two or more asthmarelated hospital admissions within 1 year, and (3) steroid dependency, as defined by either 6 mo of oral or 1 year of inhaled corticosteroid use. All subjects gave informed consent. Genomewide genotyping was performed on a subset of these families with at least one asthmatic or atopic pair—some consisting of multiplex, multigenerational families-with a set of 396 polymorphic microsatellite markers, followed by fine mapping in selected regions (results to be published). For the present study,

15 microsatellite markers (average heterozygosity 0.71) were chosen in the neighborhood of the 6q25.3 region. The sex-averaged genetic map of Marshfield (Center for Medical Genetics) was used (Broman et al. 1998).

Implementation

We implemented the S_{ad} test statistic, with a modified version of GENEHUNTER 2.1r3 (Kruglyak et al. 1996; Kruglyak and Lander 1998), called "GENEHUNTER++sad" (Lemire Web site), which also integrates the specific code lines of GENEHUNTER-PLUS (Kong and Cox 1997).

Results

Bias for Selected Pedigree Types

The bias of S_{ad} compared with S_{pairs} may be reduced, completely or partially, but it may even be larger, depending on the relationship between the affected and unaffected individuals and the model for TRD. We illustrate the bias for selected pedigree types.

Consider a nuclear family with three siblings, two of whom are affected (fig. 1A). There is one ASP, A, and there are two discordant sib pairs, D_1 and D_2 . Calculation of the joint distribution of S_A and $S_{D_1} + S_{D_2}$ is straightforward, if we proceed by conditioning on S_A and on the origin of the shared alleles, if necessary. This distribution is given in table 1 (where we let $\Delta_m =$ $2\delta_{\rm m}\delta_{\rm M}$ and $\Delta_{\rm f} = 2\delta_{\rm f}\delta_{\rm F}$), as are the corresponding values of S_{ad} . Taking the expectation of S_{ad} , with respect to the distribution from table 1, we obtain $E(S_{ad}|\delta_m, \delta_f) = 0$ for all possible values of δ_m and δ_f . The test statistic is thus unbiased in this case. This could have been intuitively argued, and the intuitive argument helps to show that S_{ad} is unbiased for nuclear families with more than two affected siblings, as long as there is at least one unaffected sibling. In equation (1), if both sums on the righthand side involve pairs of only the same kinship, then both sums have the same expected value, irrespective of the distribution of V, as long as it is independent of disease status. This includes the prior uniform distribution over realizations of V, and distributions that depend on only δ_m and δ_f . Hence, S_{ad} has an expectation of 0 and is, moreover, unbiased.

By use of table 1 for computation of the marginal distribution of S_A , the bias of the S_{pairs} test statistic for any pedigree with a single ASP can be shown to be $b(\delta_m,\delta_f) = 1 - \Delta_m - \Delta_f$.

The statistic S_{ad} is not unbiased for the other pedigrees of figure 1. For these, figure 2 illustrates the bias divided by the SD of the statistic under Mendelian segregation (the *normalized* bias, under Mendelian inheritance) of S_{ad} and S_{pairs} , when TRD comes only from the maternal

		True Type I Errors with Distortion of									
No. of Affected	13:12, wit	h TRD from	6:5, with	TRD from	3:2, with	3:2, with TRD from					
SIBLINGS AND SAMPLE SIZE	One Parent	Both Parents	One Parent	Both Parents	One Parent	Both Parents					
2:											
100	.0103	.0106	.0117	.0136	.0204	.0389					
400	.0106	.0113	.0136	.0182	.0390	.116					
1,600	.0113	.0127	.0182	.0315	.116	.475					
6,400	.0127	.0160	.0315	.0820	.475	.986					
3:											
100	.0106	.0113	.0137	.0183	.0384	.105					
400	.0112	.0125	.0176	.0293	.0971	.367					
1,600	.0124	.0153	.0283	.0678	.362	.931					
6,400	.0152	.0224	.0662	.243	.938	1.00					

True	Туре	I	Errors	Associated	with	Spairs

NOTE.—These results are for a nominal level of 1%, for nuclear families with two or three affected siblings and varying degrees of TRD.

side, as a function of the probability of mothers transmitting the grandmaternal allele.

Pedigree F (fig. 1) shows one grandparent with unknown status; this requires some additional comments. A trio that consists of two grandparents from the same parental side and one grandchild is uninformative for the total number of alleles shared by the three, which always equals 1. Hence, if both grandparents share the same disease status, they will contribute neither to $S_{\rm ad}$ (when they are both unaffected) nor to $S_{\rm pairs}$ (when they are both affected). On the other hand, the trio would be informative if the two grandparents were phenotypically discordant, since the S_{ad} statistic depends on the contrast between the number of alleles shared by the grandparent-grandchild affected pair and the grandparent-grandchild phenotypically discordant pair: this number is not a priori fully known. However, the phenotypically discordant grandparent-grandchild pair would not add additional information about the segregation of susceptibility genes that could not be obtained from the affected grandparent-grandchild pair.

We find two instances in which the bias of S_{ad} is larger, as shown by the lines of figure 2, pertaining to pedigrees B and F. In the former pedigree, which shows a phenotypically discordant pair formed by an unaffected nephew and an affected uncle, the normalized bias is higher only when the mother tends to transmit the grandpaternal allele (actually, only when δ_F is less than ~0.3). For the latter pedigree, the apparent large bias (in absolute value) observed is a consequence of the model chosen for TRD, which is grandparental-origin dependent. Note that if the grandmother had been unaffected instead of the grandfather, we would have seen the mirror image (about $\delta_f = 0.5$) of line F in figure 2.

If both parents are subject to the same level of TRD, the normalized bias of S_{ad} is increased in all cases and, except for pedigree F, is symmetric, positive, and smaller than the normalized bias of S_{pairs} (data not shown). Since the large bias observed in the case of pedigree F is a consequence of the chosen model, we simulated TRD on the basis of allelic preferential transmission (see the "Subjects and Methods" section). For a perfectly informative marker at the same genetic position as the distorted locus, we estimated the normalized bias of S_{pairs} and S_{ad} with 20,000 replicates. Results are shown in table 2. For this model of TRD, the bias of S_{pairs} in pedigree F. This result also holds true for the other pedigrees of figure 1, with larger differences.

True Type I Errors

Figure 2 shows the normalized bias for both S_{pairs} and S_{ad} for selected pedigree types. A sample of *N* pedigrees of the same type would give rise to an approximate true type I error of

$$1 - \Phi \Biggl\{ \sqrt{rac{V}{V_{\delta}}} \Biggl| z_lpha - \sqrt{N} rac{b(\delta_{
m ms} \delta_{
m f})}{\sqrt{V}} \Biggr\} \; ,$$

by use of the central limit theorem, where $b(\delta_m, \delta_f)$ is the bias of *S* for that pedigree type (see eq. [3]), $V_{\delta} =$ Var $(S|\delta_m, \delta_f)$, $V = \text{Var}(S|\frac{1}{2}, \frac{1}{2})$, Φ is the cumulative distribution function of a standard normal distribution, and $z_{\alpha} = \Phi^{-1}(1 - \alpha)$ is its quantile of order $1 - \alpha$. Since $1 - \Phi$ is a decreasing function, the true type I error of the test is an increasing function of the sample size, except perhaps when the bias is negative and the variance ratio is not too large. Table 3 shows the true type I error of S_{pairs} that can be expected for samples of varying sizes of nuclear families with two and three affected siblings and varying levels of TRD that comes from either one or both parents. For modest deviations from the Mendelian rules of inheritance, the true type I errors are reasonably close to the nominal one and only slowly in-

Results of Power Calculations Performed on Sibships of Various Size

$ \begin{array}{c} \mbod{Model}, \mbox{PINFTRANCES FOR GENOTYPES, $K_{2}(%), $		VALUE FOR TOTAL SIBSHIP SIZE								
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Model, Penetrances for Genotypes, K_{e} (%).	3 Total, 2	Affected (80 ^b)	4 Total, 2	Affected (67 ^b)	4 Total, 3	Affected (83 ^b)	5 Total, 3	Affected (71 ^b)	
Becessive (g = 316): $DD = .08, Dd = .08, dd = .78, K_s = 25.9:$ M_1 92 .68 81 .58 38 .86 25 .66 $DD = .10, Dd = .10, dd = .60, K_s = 20.5:$ M_1 299 .78 183 .65 74 1 54 78 M_1 299 .78 269 .66 111 1.03 78 .79 $DD = .12, Dd = .12, dd = .40, K_s = 16.7:$ M_1 1756 .8.3 1.609 .73 533 1.18 416 9.33 M_1 2,819 .81 2,430 .72 801 1.2 640 .92 $DD = .13, Dd = .13, dd = .33, K_s = 15.9:$ M_1 9,640 .97 8,365 .72 2,983 1.3 2,341 .93 M_2 9,640 .97 8,365 .72 2,983 1.3 2,341 .93 M_1 9,640 .97 8,365 .72 2,983 1.3 2,341 .93 $DD = .08, Dd = .78, dd = .78, K_s = 29.5:$ M_1 68 5 67 42 26 68 18 5.1 $DD = .00, Dd = .60, dd = .60, K_s = 22.4:$ M_1 102 .5 98 .42 38 .66 27 .51 $DD = .10, Dd = .60, dd = .60, K_s = 22.4:$ M_1 102 .5 98 .42 38 .66 27 .51 $DD = .12, Dd = .40, dd = .40, K_s = 17.3:$ M_1 104 .33, M_2 2,20 .66 213 .56 68 .87 52 .66 M_2 .230 .66 213 .56 68 .87 52 .66 M_3 .240 .40, dd = .40, K_s = 17.3: M_4 1,672 .75 1.708 .67 448 1.12 .387 .82 $DD = .13, Dd = .33, dd = .33, K_s = 16.2:$ M_1 1,672 .75 1.708 .67 448 1.12 .387 .82 $DD = .13, Dd = .43, dd = .78, K_s = 22.4:$ M_1 2,222 .72 2,436 .65 57 1.111 .584 .82 $DD = .13, Dd = .33, dd = .33, K_s = 16.2:$ M_1 9,848 .89 8,863 .74 2.234 1.14 1.987 .93 M_3 9,848 .89 8,863 .74 2.234 1.14 1.987 .93 M_1 9,9488 .89 8,863 .74 2.234 1.14 1.987 .93 M_1 9,9488 .89 8,863 .74 2.234 1.14 1.987 .93 M_2 9,504 .43, dd = .78, K_s = 22.4: M_3 9,406 .78 2.72 .66 144 .99 100 .76 $DD = .10, Dd = .35, dd = .78, K_s = 18.8:$ M_1 9,105 .82 923 .73 406 1.13 3.035 .82 $DD = .10, Dd = .43, dd = .78, K_s = 18.8:$ M_1 9,760 .72 9,524 .8 2,756 1.26 2,350 .94 M_1 9,760 .92 9,524 .8 2,756 1.26 2,350 .94 M_1 9,760 .92 9,524 .8 2,556 1.32 5,163 .91 M_1 40,511 .88 38,026 .92 1.33,54 .2 2,516 .37 .94	AND MARKER ^a	$N_{ m ad}$	$N_{ m ad}$: $N_{ m pairs}^{ m c}$	$N_{ m ad}$	$N_{ m ad}$: $N_{ m pairs}$ ^c	$N_{ m ad}$	$N_{ m ad}$: $N_{ m pairs}$ ^c	$N_{ m ad}$	$N_{ m ad}$: $N_{ m pairs}$ c	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Recessive $(q = .316)$:									
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .08, Dd = .08, dd = .78, K_s = 25.9$:									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M_1	61	.68	55	.6	26	.87	17	.65	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	M_2	92	.68	81	.58	38	.86	25	.66	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .10, Dd = .10, dd = .60, K_s = 20.5$:									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M_1	199	.78	183	.65	74	1	54	.78	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	M_2	299	.78	269	.66	111	1.03	78	.79	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .12, Dd = .12, dd = .40, K_s = 16.7$:									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M_1	1,756	.83	1,609	.73	533	1.18	416	.93	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	M_2	2,819	.81	2,430	.72	801	1.2	640	.92	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .13, Dd = .13, dd = .33, K_s = 15.9$:									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M_1	6,302	.96	5,788	.72	1,973	1.28	1,591	.95	
$\begin{array}{llllllllllllllllllllllllllllllllllll$	M_2	9,640	.97	8,365	.72	2,983	1.3	2,341	.93	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Dominant $(q = .0513)$:									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .08, Dd = .78, dd = .78, K_s = 29.5$:									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M_1	68	.5	67	.42	26	.68	18	.51	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	M_2	102	.5	98	.42	38	.66	27	.51	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .10, Dd = .60, dd = .60, K_s = 22.4$:									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M_1	230	.66	213	.56	68	.87	52	.66	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M ₂	344	.66	328	.57	99	.86	76	.64	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .12, Dd = .40, dd = .40, K_s = 17.3;$									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M_1	1,672	.75	1,708	.67	448	1.12	387	.82	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M ₂	2,622	.72	2,436	.65	657	1.11	584	.82	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .13, Dd = .33, dd = .33, K_s = 16.2$:	, i i i i i i i i i i i i i i i i i i i								
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M ₁	5,854	.87	6,012	.73	1,563	1.18	1314	.93	
Additive $(q = .1)$: $DD = .08, Dd = .43, dd = .78, K_s = 22.4$: M_1 M_2 241 $.78$ 193 $.67$ 98 $.98$ $.70$ $.77$ M_2 360 $.78$ 276 $.66$ 144 $.99$ 100 $.76$ $DD = .10, Dd = .35, dd = .60, K_s = 18.8$: M_1 $.743$ $.82$ $.635$ $.72$ $.273$ $.1.3$ $.211$ $.85$ M_2 $1,095$ $.82$ $.923$ $.73$ $.406$ $.1.13$ $.305$ $.82$ $DD = .12, Dd = .26, dd = .40, K_s = 16.1$: M_1 $.6,534$ $.94$ $.6,316$ $.79$ $1,873$ 1.22 $1,585$ $.94$ M_2 $.9760$ $.92$ $.9,524$ $.8$ $2,756$ 1.26 $2,350$ $.94$ $DD = .13, Dd = .23, dd = .33, K_s = 15.6$: M_1 $.21,369$ $.9$ $20,561$ $.85$ $8,562$ 1.32 $5,163$ $.91$ M_2 $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.94$ $.95$ $.95$ $.95$ $.95$ $.94$ $.95$ $.95$ $.95$ $.95$ $.95$ $.95$ $.95$ $.94$ $.95$	M ₂	9,848	.89	8,863	.74	2,234	1.14	1,987	.93	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Additive $(q = .1)$:	,		,		,		,		
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .08, Dd = .43, dd = .78, K_s = 22.4$:									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M ₁	241	.78	193	.67	98	.98	70	.77	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	M ₂	360	.78	276	.66	144	.99	100	.76	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .10, Dd = .35, dd = .60, K_s = 18.8;$									
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M_1	743	.82	635	.72	273	1.13	211	.85	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	M ₂	1.095	.82	923	.73	406	1.13	305	.82	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$DD = .12, Dd = .26, dd = .40, K_s = 16.1$:	,								
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	M,	6.534	.94	6.316	.79	1.873	1.22	1.585	.94	
$DD = .13, Dd = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, dd = .33, K_s = 15.6;$ $M_1 = .23, dd = .33, K_s = 15.6;$ $M_2 = .23, dd = .33, dd = .33, dd = .33, dd = .33, dd = .35, dd =$	M ₂	9,760	.92	9,524	.8	2,756	1.26	2.350	.94	
M1 21,369 .9 20,561 .85 8,562 1.32 5,163 .91 M2 40,511 .88 38,026 .92 13,052 1.28 8,387 .94	$DD = .13, Dd = .23, dd = .33, K_{c} = 15.6$. ,		,		,		
M_2 40,511 .88 38,026 .92 13,052 1.28 8,387 .94	M ₁	21,369	.9	20,561	.85	8,562	1.32	5,163	.91	
	$\dot{M_2}$	40,511	.88	38,026	.92	13,052	1.28	8,387	.94	

NOTE.—Parental genotypes are available. The nominal type I error is 0.1%. Parameters are estimated from at least 50,000 simulated replicates.

^a Penetrances are given in terms of the genotypes at the disease locus, where d is the susceptibility allele, with frequency q. K_s is the sibling recurrence risk for the disease model. In all cases, the disease prevalence is 15%.

 $^{\rm b}$ Percentage of individuals used by $S_{\rm pairs}.$

^c Ratio N_{ad} : N_{pairs} of the required number of pedigrees of a single type to achieve a power of 80% for the S_{ad} statistic (N_{ad}) and the S_{pairs} statistic (N_{pairs}), calculated at marker M_1 and marker M_2 .

crease with the sample size. As the deviation becomes more important, the true type I error increases rapidly with the sample size. On the other hand, every ASP pedigree with at least one genotyped unaffected sibling has an unbiased contribution to the test based on S_{ad} .

Power Calculations

Power calculations were performed for sibships, such as that depicted in figure 1A and similar sibships, under different disease models (defined by the mode of inheritance, the susceptibility-allele frequency, and the penetrances), all with a 15% disease prevalence in the population. We varied the sibship size from 3 to 5 and the number of affected individuals from 2 to 3. Parental genotypes are available. Results are shown in table 4. Except for sibships of size 4 with a single unaffected individual, power is generally increased by the addition of the unaffected individuals. Although this may be expected because of the availability of additional individuals who are ignored in affected-only analyses, we note that, in certain circumstances, genotyping the extra siblings, when available, may be an efficient strategy. For example, with three siblings, whereas S_{pairs} would effectively need the genotyping of four of the five (or 80%) family members, the ratios shown in table 4 are generally less than or slightly above 80%, which means that the effective total number of individuals required to achieve the desired power is generally smaller or similar when S_{ad} is used

Results of Power Calculations Performed for Pedigrees

	VALUE FOR PEDIGREE								
MODEL RENETRANCES FOR CENOTYDES V (9/)		В	С	or D		E		$F^{\rm b}$	
MODEL, FENETRAINCES FOR GENOTIFIES, K_S (76), AND MARKER ^a	$N_{ m ad}$	$N_{ m ad}$: $N_{ m pairs}$	$N_{ m ad}$	$N_{ m ad}$: $N_{ m pairs}$	$N_{ m ad}$	$N_{ m ad}$: $N_{ m pairs}$	$N_{\rm ad}$	$N_{ m ad}$: $N_{ m pairs}$	$\mathrm{N}_{\mathrm{ad}}{:}N_{\mathrm{pairs}}^{*}$
Recessive $(q = .316)$:									
$DD = .08, Dd = .08, dd = .78, K_s = 25.9$:									
M_1	238	1.9	111	1.08	102	1.04	65	.63	1.03
M_2	373	1.97	168	1.08	156	1.05	94	.6	1.07
$DD = .10, Dd = .10, dd = .60, K_s = 20.5$:									
M_1	538	1.82	288	1.1	261	1.08	145	.67	1.01
M_2	841	1.86	459	1.12	411	1.1	211	.63	1.05
$DD = .12, Dd = .12, dd = .40, K_s = 16.7$:									
M_1	3,582	1.93	1,862	1.13	2,067	1.11	1,020	.73	.95
M_2	5,364	1.97	2,746	1.17	2,955	1.1	1,365	.68	1
$DD = .13, Dd = .13, dd = .33, K_s = 15.9$:									
M_1	8,738	1.1	7,824	1.2	7,106	1.16	3,791	.68	.99
M_2	13,875	1.07	11,714	1.32	9,413	1.18	4,590	.66	1
Dominant ($q = .0513$):									
$DD = .08, Dd = .78, dd = .78, K_s = 29.5$:									
M_1	124	.66	109	.84	106	.79	40	.35	1.33
M_2	199	.71	166	.86	163	.8	55	.32	1.41
$DD = .10, Dd = .60, dd = .60, K_s = 22.4$:									
M_1	371	.9	260	.92	255	.86	91	.35	1.34
M_2	591	.98	389	.94	372	.87	125	.32	1.4
$DD = .12, Dd = .40, dd = .40, K_s = 17.3$:									
M_1	3,203	1.27	2,283	.95	2,237	1.07	572	.37	1.33
M_2	5,250	1.44	3,340	.95	3,113	1.07	773	.3	1.42
$DD = .13, Dd = .33, dd = .33, K_s = 16.2$:									
M_1	6,277	.92	6,634	1.01	6,431	.89	1,992	.41	1.26
M_2	9,939	.89	7,482	.98	10,494	.9	2,643	.38	1.29
Additive $(q = .1)$:									
$DD = .08, Dd = .43, dd = .78, K_s = 22.4$:									
M_1	414	1.05	281	.93	268	.88	113	.39	1.3
M_2	662	1.07	406	.93	426	.88	151	.36	1.34
$DD = .10, Dd = .35, dd = .60, K_s = 18.8$:									
M_1	1,286	1.08	872	.95	827	.94	314	.41	1.28
M_2	1,990	1.09	1,257	.92	1,227	.96	429	.37	1.32
$DD = .12, Dd = .26, dd = .40, K_s = 16.1$:									
M_1	9,079	1.19	5,626	.98	6,100	.81	2,136	.5	1.18
M_2	12,193	1.08	9,176	.92	10,731	.9	2,971	.47	1.21
$DD = .13, Dd = .23, dd = .33, K_s = 15.6$:									
M_1	22,717	1.09	27,662	1.17	23,556	1.12	12,015	.28	1.49
M_2	45,148	1.17	30,897	1.14	45,072	1.01	20,300	.18	1.69

NOTE.—Pedigree labels refer to figure 1. All individuals are genotyped.

^a Penetrances are given in terms of the genotypes at the disease locus, where *d* is the susceptibility allele, with frequency *q*. K_s is the sibling recurrence risk for the disease model. In all cases, the disease prevalence is 15%.

^b For pedigree F, N_{pairs}^* is related to the power of S_{pairs} if the grandmother's status was affected instead of unknown.

on all five members rather than when S_{pairs} is used with only four of the five members. In certain circumstances, when the sibling recurrence risk is low, genotyping only the affected individuals is more efficient, but then the unbiasedness of S_{ad} for the effects of TRD may be an additional incentive for genotyping the unaffected sibling, when available.

In sibships of size 4 with a single unaffected individual, a loss of power is observed. Note that, here, unless all three affected siblings share both their alleles (which is a single configuration of the 16 possible descent paths of alleles), the unaffected individual will *always* share alleles with at least one affected sibling. This may explain the loss of power. We note, however, that, by adding a second unaffected sibling, this almost-obligate sharing of alleles is balanced by an increase in statistical information, and we observe a gain in power.

For the other pedigrees shown in figure 1, the number of additional individuals beyond the ASP and their parents is too large to make it an efficient use of resources to genotype them all (with the technical exception of pedigree F; see below), especially since S_{ad} still has bias

Power of S_{pairs} and S_{ad} in the Absence of Parental Genotypes

MODEL PENETRANCES FOR GENOTYPES $K_{i}(\%)$		Power				
AND MARKER ^a (70) ,	Spairs	S _{ad} (one)	$S_{ad}(all)$			
Recessive $(q = .316)$:						
$DD = .12, Dd = .12, dd = .40, K_s = 16.7$:						
M_1	.369	.386	.453			
M_2	.300	.272	.337			
$DD = .13, Dd = .13, dd = .33, K_s = 15.9$:						
M_1	.099	.103	.098			
M_2	.076	.073	.076			
Dominant ($q = .0513$):						
$DD = .12, Dd = .40, dd = .40, K_s = 17.3$:						
M_1	.313	.371	.439			
M_2	.249	.276	.308			
$DD = .13, Dd = .33, dd = .33, K_s = 16.2$:						
M_1	.106	.106	.116			
M_2	.086	.085	.097			
Additive $(q = .1)$:						
$DD = .12, Dd = .26, dd = .40 K_s = 16.1$:						
M_1	.097	.103	.126			
M_2	.080	.066	.087			
$DD = .13, Dd = .23, dd = .33, K_s = 15.6$:						
M_1	.037	.046	.038			
M_2	.034	.035	.029			

NOTE.—Power of S_{pairs} and S_{ad} under six different models, without TRD effects, for 400 ascertained sibships of various sizes. Two genotyping scenarios are considered: typing one unaffected sibling or all unaffected siblings. Parental genotypes are unavailable. Power is estimated at markers M_1 and M_2 from 1,000 simulated replicates, for a nominal level of 1%.

^a Penetrances are given in terms of the genotypes at the disease locus, where *d* is the susceptibility allele, with frequency *q*. K_s is the sibling recurrence risk for the disease model. In all cases, the disease prevalence is 15%.

due to TRD (fig. 2 and table 2). If the genotypic data are otherwise already available, then some power may be gained, depending on the structure of the pedigrees and the mode of inheritance. For completeness, we computed the power for the remaining pedigrees of figure 1. Results are shown in table 5.

The differences in N_{pairs} values for the different ASP pedigree types are explained by the different ascertainment schemes. For example, by selecting only ASPs with an unaffected cousin (pedigrees C and D), we effectively reduced the genetic effect in favor of phenocopy effects; hence, the reduced power compared with the unrestricted selection of ASPs. Similarly, by selecting nuclear families with exactly one unaffected sibling (pedigree A), we may end up with a suboptimal ascertainment scenario. For pedigree F, we ascertained families with phenotypically discordant grandparents, treating the affected grandparent as having an unknown status. As mentioned above, the number of alleles that a grandchild shares with one grandparent can be obtained from the number that he or she shares with the other grandparent. The S_{ad} statistic for pedigree F (with an affected grandparent treated as having an unknown disease status) is thus very similar to the S_{pairs} computed for the affected trio and

differs only with respect to how pairs are weighted: with S_{pairs} , all pairs have the same weight, whereas, with S_{ad} (ignoring the affected grandparent), grandparent-grandchild pairs weigh half as much as the ASP. We compared the power of S_{pairs} (ignoring the affected grandparent) with the power of S_{pairs} computed for the affected trio $(N_{\text{pairs}}^*$ in table 5). The power of S_{ad} compares to the power of S_{pairs} only for recessive disease models, but it is less powerful otherwise.

Designs in which it is desirable to genotype unaffected siblings include those for diseases with late onset, in which, in the absence of parental data, unaffected siblings are appropriate controls for follow-up association studies (Curtis 1997; Spielman and Ewens 1998). We thus also performed gene-dropping simulations, again without TRD effects, under the scenario of unavailability of parental data. We ascertained 400 families through a single affected proband with at least one affected sibling, randomly selected from all affected members of a simulated population (see the "Subjects and Methods" section). Six low-penetrant disease models were used from the models of table 4. To correct for incompleteness of the data, the method of Kong and Cox (1997) is used with S_{pairs} and S_{ad} . Note that, for the reconstruction of

Power of S_{ad} under the Effects of TRD

MODEL PENETRANCES FOR GENOTYPES K (%)	Power						
AND MARKER ^a (76) ,	No TRD	3:2 (one)	3:2 (both)	3:1 (both)			
Recessive $(q = .316)$:							
$DD = .12, Dd = .12, dd = .40, K_s = 16.7$:							
M_1	.386	.381	.364	.258			
M_2	.272	.283	.267	.174			
$DD = .13, Dd = .13, dd = .33, K_s = 15.9$:							
M_1	.103	.105	.090	.071			
M_2	.073	.075	.068	.051			
Dominant $(q = .0513)$:							
$DD = .12, Dd = .40, dd = .40, K_s = 17.3$:							
M_1	.371	.363	.304	.218			
M_2	.276	.278	.253	.152			
$DD = .13, Dd = .33, dd = .33, K_s = 16.2$:							
M_1	.106	.095	.083	.046			
M_2	.085	.071	.063	.045			
Additive $(q = .1)$:							
$DD = .12, Dd = .26, dd = .40, K_s = 16.1$:							
M_1	.103	.097	.082	.045			
M_2	.066	.071	.066	.036			
$DD = .13, Dd = 2, dd = .33, K_s = 15.6$:							
M_1	.046	.024	.034	.011			
M_2	.035	.020	.027	.007			

NOTE.—Power of S_{ad} under the effects of TRD, for sibships of varying sizes with a single unaffected sibling genotyped and without parental data. Distortions are in ratio 3:2 coming from a single parent, 3:2 coming from both parents, and 3:1 coming from both parents.

^a Penetrances are given in terms of the genotypes at the disease locus, where *d* is the susceptibility allele, with frequency *q*. K_s is the sibling recurrence risk for the disease model. In all cases, the disease prevalence is 15%.

the parental genotypes, it is assumed that random mating occurs. Two strategies are investigated: one in which a single unaffected sibling is genotyped (say, the oldest) and one in which all unaffected siblings are genotyped. In practice, for late-onset diseases, it may be desirable to genotype only unaffected siblings on the basis of suitable age-cutoff considerations (Majewski 2001). Results from 1,000 replicates are given in table 6, for a nominal type I error of 1%. For the former scenario, 400 unaffected siblings are genotyped, whereas, for the latter, the average number of unaffected siblings that are genotyped has a range of 798-817, depending on the disease model. The mean number of affected individuals has a range of 860-873. In both cases, the power is augmented by consideration of the unaffected siblings, but, for low-penetrance models, results show that it is not worthwhile to genotype more than one unaffected sibling. Note that, for those low-penetrant models, much larger samples are needed.

Finally, we investigated the effects of TRD on the power to detect linkage with S_{ad} , under the above scenario with a single unaffected sibling genotyped. Marker M_1 was taken to be under the influence of disease-independent TRD, in which grandparental chromosomes segregate in a 3:2 ratio from a single parent, in a 3:2 ratio from both parents, and in a 3:1 ratio from both parents. We observed loss of power—or bias toward the null—in the cases we considered (see table 7). This loss of power is marginal when the TRD is small, but it is more important as the distortion grows.

Although pedigrees with discordant sib pairs but without ASPs could be included in the analysis, this decision should be made in the light of the work of Risch (1990) and Rogus and Krolewski (1996): it may be advantageous when the recurrence risk for a given relative is high enough (in the case, for example, of a highly penetrant dominant disease) but not efficient if that risk is <50%. In all cases, as mentioned by Rogus and Krolewski (1996), a proper and adequate definition of the unaffected status is required.

Application to an Asthma Study

For the present study, we used data from families with two or more affected siblings, artificially treating other asthmatic relatives as having an unknown phenotype. The intention was to consider only pedigrees that are similar, in terms of diagnosis, to the pedigrees shown in figure 1. Our sample consisted of 61 families, 21 of which had phenotypically discordant pairs: 14 pedigrees in which



Figure 3 $Z_{l_{r,pairs}}$ allele-sharing statistic of Kong and Cox (1997) among asthmatic siblings (*solid line*). Also shown are parent-specific allele-sharing statistics: maternal allele sharing (*dashed line*) and paternal allele sharing (*dotted line*).

the discordant pairs are sibling pairs, 2 in which they are cousin pairs, and 5 in which they are avuncular pairs. Working under the hypothesis that TRD is grandparental-origin dependent, we did not consider grandparent-grandchild discordant pairs.

We focused on the region surrounding 6q25.3. Markers in this region that were selected for the present study are shown in figure 3. Excess sharing of alleles among asthmatic individuals was observed. Figure 3 shows a maximum score of $Z_{lr,pairs} = 2.40 (P = .0082)$, on the basis of the exponential model of Kong and Cox (1997), with the S_{nairs} statistic, in the interval between markers GATA165G02 and D6S1614, in the neighborhood of 6q25.3. Since the excess sharing could be a consequence of parent-of-origin-dependent TRD, we looked at parent-specific allele sharing. We measured parent-specific sharing by artificially analyzing all affected siblings as half-siblings with distinct mothers or fathers, depending on the desired parental origin of sharing. Sharing is higher from the maternal side than from the paternal side (fig. 3), a result consistent with the observations of Naumova et al. (2001).

We computed the S_{ad} score with GENEHUNTER++sad (Lemire Web site) for the data set consisting of all 61 pedigrees. Results are shown in figure 4. We ob-

serve a decreased value for the $Z_{lr,ad}$ test statistic (1.78; P = .038) compared with $Z_{lr,pairs}$ at the position of the maximum $Z_{lr,pairs}$. Even though the families with phenotypically discordant pairs are modest in size, the differences between the two statistics are important.

Discussion

We have developed a novel allele-sharing statistic that uses discordant pairs of relatives as a control against the possible bias caused by TRD. In regions under TRD, our statistic is unbiased for the common design of sampling ASPs, if unaffected siblings are also sampled. Moreover, for this design, because the method combines the lack of sharing of alleles in discordant sib pairs and the excess sharing of alleles in ASPs to find evidence for linkage, incorporating unaffected siblings in the analysis improves the power to detect linkage. Power may also be increased by consideration of other relative pairs, to control, to a lesser extent, for TRD. However, we want to stress the fact that, if faced with the decision to genotype either an affected sibling or an unaffected one, then, in terms of power alone, it is preferable to genotype the affected sibling, with perhaps the exception of diseases with very high sibling recurrence risk (Risch 1990).



Figure 4 $Z_{lr,pairs}$ (*dashed line*) and $Z_{lr,ad}$ (*solid line*) test statistics in a sample of 61 pedigrees, 36 of whom have phenotypically discordant pairs.

The S_{ad} statistic has some limitations. For example, an ASP with an unaffected sibling has an unbiased contribution to S_{ad} , but it would become biased by adding other unaffected nonsibling relatives to the pedigree. Moreover, for general pedigrees with multiple affected relatives, bias and power issues are still unaddressed, and use of S_{ad} may not be appropriate. The intuitive argument used to show the unbiasedness of S_{ad} in the case of nuclear families with affected and unaffected siblings can provide some insights for a statistic applicable to general pedigrees. Perhaps a more convenient statistic to use would be of the form

$$S_{\mathrm{ad}}^{\scriptscriptstyle K}(\boldsymbol{v}) = \sum_k w_k \left\{ \sum_{A_k} S_{A_k}(\boldsymbol{v}) - \frac{N_{A_k}}{N_{D_k}} \sum_{D_k} S_{D_k}(\boldsymbol{v}) \right\} ,$$

where pairs are grouped on the basis of their kinship k (with relative types possibly being weighted differently), among which the totals of the number of alleles shared are computed. Thus, when available, an affected relative pair would have a matched phenotypically discordant pair to control for TRD, and phenotypically discordant pairs would be used only if an affected pair of the same kinship contributes to the statistic. Implementation of S_{ad}^{κ} is pending.

The original linkage result for the asthma trait in the 6q25.3 region is only modest and cannot be considered significant in the context of a whole-genome scan. The result, nevertheless, shows that there is significant distortion from Mendelian inheritance in the region, given the prior evidence of TRD, but, specific to our sample of only modest size, the question remains as to whether it is due to asthma-independent TRD or a putative asthma-related gene with weak and possibly parent-oforigin effects. The 6q25 region under study contains one candidate gene that could play a role in the airway hyperresponsiveness and asthma: the vasoactive intestinal peptide gene (VIP), which is a potent relaxant of the airway smooth muscle (Ollerenshaw et al. 1989; Berisha et al. 2002; Hasaneen et al. 2003). Parent-oforigin effects have been shown to play a role in allergic diseases, especially in atopy, in which the chance of an atopic mother transmitting the disease is four times as high as transmission from an atopic father (Cookson 2002). Note that, in our analysis, we used a sex-averaged genetic map. Misspecification of map distances may result in an increase in type I error and may reduce the power when linkage is present (Halpern and Whittemore 1999; Daw et al. 2000). Moreover, the use of a sex-averaged map may result in incorrectly inferred imprinting effects, when genetic distances differences between males and females are important (Mukhopadhyay and Weeks 2003).

Although the application of our method to the asthma study focused on a single region suspected to be under the influence of TRD, S_{ad} is appropriate for genomewide linkage studies. Interpretation of genomewide linkage results for complex diseases can then be based on guidelines such as the locus-counting method (Wiltshire et al. 2002), which compares the number of independent regions of the genome showing evidence for linkage with that expected under the null hypothesis of no linkage. An unbiased statistic may be particularly well suited for the locus-counting approach, since the method relies on the hypothesis of Mendelian inheritance throughout the genome, a hypothesis not supported by the recent findings of Zöllner et al. (2004), who observed evidence of extensive TRD in the genome. Consequently, unless an unbiased statistic is used to assess linkage, the shift of the observed distribution of the number of independent regions showing evidence for linkage (with respect to varying linkage-assessment thresholds), compared with the null distribution, may be inflated.

TRD is a confounding factor for tests based on allele sharing in affected relative pairs, and its effects were overlooked over the years, despite clear comments made in that regard early in the development of family-based tests that look for transmission disequilibrium (e.g. Spielman et al. 1993). A report of a sex-specific distorted region (10p) in CEPH families (Paterson and Petronis 1999) generated replies from groups who previously reported linkage of schizophrenia to 10p (Faraone et al. 1999; Schwab et al. 1999). These groups addressed the issue of possible TRD in the region, and it was found to have little impact on the magnitude of their original results. It is our opinion that recognizing the existence of possible confounding factors and taking them into account can only strengthen linkage results.

Commenting on the lack of studies of normal sib pairs, Edwards (2003) mentioned that "[the affected sib pair] family of surveys [is] one of the largest undertaken in the absence of controls." In this regard, the recent contributions of Paterson et al. (2003) to the *Genetic Analysis Workshop 13*, as well as the work of Zöllner et al. (2004), are further steps in a whole-genome–scan context toward the identification of loci under the influence of TRD.

Acknowledgments

The authors thank Celia Greenwood, Anna Naumova, J. Loredo-Osti, and two anonymous reviewers for helpful comments on earlier versions of the manuscript. This research was supported by the Canadian Institutes of Health Research (CIHR) (support to T.J.H. and C.L.) and by the Networks of Centres of Excellence program—the Canadian Genetic Diseases Network and the Mathematics of Information Technology and Complex Systems (support to K.M.). C.L. is supported by the Fonds pour la recherche en santé du Québec. T.J.H. is recipient of an Investigator Award from CIHR and a Clinician-Scientist Award in Translational Research from the Burroughs Wellcome Fund.

Appendix

The joint distribution of S_A (we consider a single ASP) and $\sum S_D$ for pedigrees C, D, and E of figure 1 can be obtained from tables 8 and 9. Table 8 shows the joint distribution for the value of S_A and the grandparental origin of the maternal allele shared by the ASP, if any. Table 9 shows the conditional distribution of $\sum S_D$, given the grandparental origin of the maternal allele shared by the ASP. The joint distribution of S_A and $\sum S_D$ (and then the distribution of the values of S_{ad}) is obtained by multiplication of the conditional and joint unconditional probabilities, summing these products when necessary. Table 10 applies to pedigree B of figure 1, in which it is easier to obtain the distribution of S_D (here, there is only a single discordant pair) conditional on the number of alleles shared by the ASP, along with the grandparental origin of the allele shared. Given the information shown in table 8, the value of $\sum S_D$ for pedigree F of figure 1 is readily obtained.

Joint	Distri	bution	of	S_A
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ASP Maternal Allele Shared and S_A^a	Joint Probability ^b		
None:			
0	$\Delta_f \Delta_{ m m}$		
1	$\Delta_{ m f}(1-\Delta_{ m m})$		
f:			
1	$\Delta_{ m m} \delta_{ m f}^2$		
2	$(1-\Delta_{ m m})\delta_{ m f}^2$		
F:			
1	$\Delta_{ m m} \delta_{ m F}^2$		
2	$(1-\Delta_{ m m})\delta_{ m F}^2$		

 ^a f=the grandpaternal allele; F=the grandmaternal allele.
 ^b The joint distribution of the number of alleles shared by a single ASP and the grandparental origin of the maternal allele shared, if any.

Table 9

Probability Distributions for $\Sigma~S_{\scriptscriptstyle D}$ of Pedigrees C, D, and E

ASP MATERNAL ALLELE SHARED AND $\sum S_D^a$	Conditional Probability ^b for		
	Pedigree C	Pedigree D	Pedigree E
None:			
0	$\Delta_{\rm f} \delta_{\rm F} + \Delta_{\rm m} \delta_{\rm f}$	$\Delta_{\rm m} \delta_{\rm m} + \Delta_{\rm f} \delta_{\rm M}$	$\Delta_{\rm f}\Delta_{\rm m}$
1	$(1-\Delta_{\rm f})\delta_{\rm F} + (1-\Delta_{\rm m})\delta_{\rm f}$	$(1-\Delta_{\rm m})\delta_{\rm m} + (1-\Delta_{\rm f})\delta_{\rm M}$	$\Delta_{\rm f}(1-\Delta_{\rm m})+\Delta_{\rm m}(1-\Delta_{\rm f})$
2	0	0	$(1-\Delta_{\rm f})(1-\Delta_{\rm m})$
f:			
0	$\Delta_{\rm m} + (1 - \Delta_{\rm m}) \delta_{\rm F}$	$\Delta_{\rm m} + (1-\Delta_{\rm m}) \delta_{\rm M}$	Δ_{m}
2	$(1-\Delta_{ m m})\delta_{ m f}$	$(1 - \Delta_{\rm m})\delta_{\rm m}$	$1 - \Delta_{ m m}$
F:			
0	$\Delta_{\rm f} + (1 - \Delta_{\rm f})\delta_{\rm f}$	$\Delta_{\rm f} + (1 - \Delta_{\rm f}) \delta_{\rm m}$	Δ_{i}
2	$(1-\Delta_{\rm f})\delta_{\rm F}$	$(1-\Delta_{\rm f})\delta_{\rm M}$	$1 - \Delta_{\rm f}$

^a f=the grandpaternal allele; F=the grandmaternal allele. ^b Probability distributions for $\sum S_D$ of pedigrees C, D, and E (fig. 1), conditional on the grandparental origin of the maternal allele shared by the ASP.

Table 10

Pedigree B Distributions

S_A (Allele Shared), Probability ^a , and S_D	Conditional Probability	
0:		
$\Delta_{\rm m}\Delta_{\rm f}$:		
0	1	
1 (f):		
$(1-\Delta_m)\Delta_i$:		
0	$\delta_{\rm F}$	
1	δ_{i}	
1 (F):		
$\Delta_{\rm m}(1-\Delta_{\rm f})$:		
0	δ_{i}	
1	$\delta_{\rm F}$	
2:		
$(1-\Delta_{\rm m})(1-\Delta_{\rm f})$:		
1	1	

NOTE.—Distributions are related to pedigree B of figure 1.

^a The joint event representing the number of alleles shared by the ASP and the allele that is shared (f=a shared paternal allele; F=a shared maternal allele). The distribution of S_D is conditional on this joint event.

Electronic-Database Information

The URLs for data presented herein are as follows:

- Center for Medical Genetics, http://research.marshfieldclinic .org/genetics/ (for the Marshfield Clinic marker order and genetic distance between markers)
- Lemire Web site, http://www.genome.mcgill.ca/~mlemire/ (for GENEHUNTER++sad and SimM)

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